

APPENDIX F - LICENSE CODES**OTHER LICENSE TYPE CODES**

<u>License Code</u>	<u>Description</u>
OPA	Other Partnership Agency License not listed in tables (i.e., DEA, ATF). AES filers are still required to comply with current paper documentation requirements for agencies not accommodated in AES. Filling in the 'License Number' field for such agencies is optional.

AES-COMMERCE/BXA LICENSE TYPE CODES

<u>License/Exception Code</u>	<u>Description</u>	<u>License Number Required</u>	<u>ECCN Required</u>
C30	Licenses (BXA Regulation)	YES	YES
C31	SCL	YES	YES
C32	NLR (CCL /NS Column 2 & Items Controlled for Chemical Warfare Reasons)	NO	YES
C33	NLR (ALL OTHERS)	NO	NO
C34	(Save for future use)		
C35	LVS	NO	YES
C36	GBS	NO	YES
C37	CIV	NO	YES
C38	TSR	NO	NO
C39	CTP	NO	NO
C40	TMP	NO	NO
C41	RPL	NO	NO
C42	GOV	NO	NO
C43	GFT	NO	NO
C44	TSU	NO	NO
C45	BAG	NO	NO
C46	AVS	NO	NO
C47	APR	NO	NO
C48	KMI	NO	NO
C49	TAPS	NO	NO
C50	ENC	NO	NO

APPENDIX F - LICENSE CODES

NOTE: The following license/license exception descriptions are intended to assist in identifying the type of license/exception to be used for an export shipment. The Department of Commerce/Bureau of Export Administration (BXA) published these license/exception categories in the Federal Register as a Final Rule on December 4, 1996. BXA should be contacted for more specific details on licensing requirements within their purview.

DESCRIPTIONS FOR
CUSTOMS/AES-COMMERCE/BXA LICENSE TYPE CODES

License/Exception Code	Description
C30	Licenses (BXA Regulation)--Authority issued by the Bureau of Export Administration authorizing an export, re-export, or other regulated activity. The term 'license' does not include authority represented by a 'License Exception.' License Number and ECCN required.
C31	Special Comprehensive License (SCL)--Part 752. License Number and ECCN required.
C32	No License Required (NLR)--those items filed under the 'NLR' provisions of the EAR Part 758 which are covered by entries on the Commerce Control List that have the column identifier 'NS Column 2' controlled for 'NS' reasons. ECCN required.
C33	No License Required (NLR)--all other NLR items, filed under the 'NLR' provisions of the EAR Part 758, which are not covered by C32 above. (<i>For Census purposes, use C33 for shipments between the U.S. and Puerto Rico, and from the U.S. to the U.S. Virgin Islands.</i>)
C34	(Save for future use)
C35	Limited Value Shipments (LVS)--Part 740.3. ECCN required.
C36	Shipments to B Countries (GBS)--Part 740.4. ECCN required.
C37	Civil End Users (CIV)--Part 740.5. ECCN required.

APPENDIX F - LICENSE CODES**DESCRIPTIONS FOR
CUSTOMS/AES-COMMERCE/BXA LICENSE TYPE CODES Continued...**

<u>License/Exception Code</u>	<u>Description</u>
C38	Restricted Technology and Software (TSR)--Part 740.6.
C39	Computers (CTP)--Part 740.7.
C40	Temporary Imports, Exports, and Re-exports (TMP)--Part 740.9; consolidates the following categories: Temporary Exports and Re-exports; Items Temporarily in the U.S.; Beta Test Software.
C41	Servicing and Replacement of Parts and Equipment (RPL)--Part 740.10; consolidates the following categories: One-for-one Replacement of Parts; Servicing and Replacement of Equipment.
C42	Governments and International Organizations (GOV)--Part 740.11; consolidates the following categories: International Safeguards; Shipments to U.S. Agencies and Personnel, Shipments to Agencies of Cooperating Governments.
C43	Gift Parcels and Humanitarian Donations (GFT)--Part 740.12; consolidates the following categories: Gift Parcels; Humanitarian Donations.
C44	Technology and Software - Unrestricted (TSU)--Part 740.13; consolidates the following categories: Operating Technology and Software; Sales Technology and Software; Software Updates; General Software Note. 'Basket' software is now EAR99 ('No License Required').
C45	Baggage (BAG)--Part 740.14.
C46	Aircraft and Vessels (AVS)--Part 740.15. Generally, no license exception symbol is necessary for export clearance purposes. However, when necessary, the symbol 'AVS' may be used.

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CUSTOMS/AES-COMMERCE/BXA LICENSE TYPE CODES Continued...**

<u>License/Exception Code</u>	<u>Description</u>
C47	Additional Permissive Re-exports (APR)--Part 740.16.
C48	Key Management Infrastructure (KMI)--Part 740.8 permits the export and re-export of certain encryption items. This policy will apply to hardware and software.
C49	Trans-Alaska Pipeline Authorization Act (TAPS)--Part 754.2 permits the export of Alaskan North Slope crude oil.
C50	Encryption commodities and software (ENC)--Part 740.17 permits the export and re-export of 56-bit encryption commodities and software worldwide; permits the export and re-export of any key length encryption to U.S. subsidiaries, insurance companies, health and medical end-users, on-line merchants and foreign commercial firms.

APPENDIX F - LICENSE CODES**AES-NUCLEAR REGULATORY COMMISSION (NRC)**
LICENSE TYPE CODES

<u>License Number or General License Code</u>	<u>Description</u>	<u>License Citation Required</u>
N01	NRC Form 250/250A	YES
N02	NRC ‘general’ export License CFR citation	YES

NRC Form 250/250A = ‘Specific’ export license for nuclear material and equipment/conditions requires entry of license number in License Number field

NRC ‘general’ license = NRC ‘general’ licenses require entry of CFR citation in the License Number field

APPENDIX F - LICENSE CODES**AES-STATE/MUNITIONS LICENSE TYPE CODES**

<u>License Code</u>	<u>Description</u>	<u>Exemption Citation Required</u>
SAG	AGREEMENTS	YES
S00	License Exemption Citation	YES
S05	DSP-5	YES
S61	DSP-61	YES
S73	DSP-73	YES
S85	DSP-85	YES

AG	=	Agreements (i.e., AG, BA, MA, RR, TA and VD Agreements)
DSP-5	=	Permanent Export of Unclassified Defense Articles and Services
DSP-61	=	Temporary Import of Unclassified Articles
DSP-73	=	Temporary Export of Unclassified Articles
DSP-85	=	Temporary or Permanent Import or Export of Classified Articles

APPENDIX F - LICENSE CODES**AES-TREASURY/OFFICE OF FOREIGN ASSETS CONTROL (OFAC)**
LICENSE TYPE CODES

<u>License Code</u>	<u>Description</u>	<u>Exemption Citation Required</u>
T10	OFAC ‘Specific’ license	YES
T11	OFAC ‘General’ export License CFR citation	YES

OFAC ‘Specific’ = ‘Specific’ export license issued, on a case-by-case basis, by OFAC for certain export shipments that would otherwise be barred by sanctions, requires entry of license number in AES ‘Export License Number/CFR Citation’ field.

OFAC ‘General’ = Export shipments permitted under ‘General’ license which conform to criteria set forth in an OFAC authorization published as a regulation (no individual clearance by OFAC) covers certain shipments that would otherwise be barred by sanctions. Requires entry of CFR citation, or if there is no CFR citation, the Federal Register citation (if there is one) or General License number, in AES ‘Export License Number/CFR Citation’ field.